

## HSBC MUTUAL FUND

### **Notice Cum Addendum to the Scheme Information Document (“SID”) and Key Information Memorandum (“KIM”) of certain schemes & Statement of Additional Information (SAI) of HSBC Mutual Fund (“the Fund”)**

#### **I. Appointment of key personnel of HSBC Asset Management (India) Private Limited:**

Mr. Abhishek Gupta shall be appointed as the key personnel of HSBC Asset Management (India) Private Limited with effect from **March 01, 2024**. Accordingly, following details of Mr. Abhishek Gupta will be added in the respective SID & KIM of the Schemes (mentioned below) & SAI of the Fund:

<b>Name</b>	<b>Designation</b>	<b>Age (Years)</b>	<b>Qualifications</b>	<b>Brief Experience</b>
Mr. Abhishek Gupta	SVP – Fund Management Equities	43	PGDM IIMC,  CFA, CFA Institute, USA,  BCom (hons) from SRCC Delhi.	Over 13 years of experience  <b>HSBC Asset Management (India) Private. Limited</b> as SVP– Fund Management Equities (Since Feb 2024)  <i><b>Prior Assignment:</b></i> <ul style="list-style-type: none"> <li>• <b>Edelweiss Mutual Fund</b> – Equity Investments from July 2021 to January 2024</li> <li>• <b>Goldman Sachs Asset management</b> – Equity Investments December 2010 to June 2021.</li> </ul>

Accordingly, the Fund Management responsibilities of below mentioned Schemes with effect from March 01, 2024 shall be as under:

<b>Sr. no.</b>	<b>Name of the Scheme(s)</b>	<b>Existing Fund Manager(s)</b>	<b>Revised Fund Manager(s)</b>
1.	HSBC Midcap Fund	<ul style="list-style-type: none"> <li>• Mr. Venugopal Manghat &amp; Ms. Cheenu Gupta (Equity)</li> <li>• Mr. Sonal Gupta (Overseas Investments)</li> </ul>	<ul style="list-style-type: none"> <li>• Ms. Cheenu Gupta &amp; Mr. Venugopal Manghat (Equity)</li> <li>• Mr. Sonal Gupta (Overseas Investments)</li> </ul>
2.	HSBC Flexi Cap Fund	<ul style="list-style-type: none"> <li>• Mr. Venugopal Manghat &amp; Mr. Neelotpal Sahai (Equity)</li> </ul>	<ul style="list-style-type: none"> <li>• Mr. Abhishek Gupta &amp; Mr. Neelotpal Sahai (Equity)</li> </ul>
3.	HSBC ELSS Tax saver Fund	<ul style="list-style-type: none"> <li>• Ms. Cheenu Gupta &amp; Mr. Gautam Bhupal (Equity),</li> <li>• Mr. Sonal Gupta (Overseas Investments)</li> </ul>	<ul style="list-style-type: none"> <li>• Mr. Abhishek Gupta &amp; Mr. Gautam Bhupal (Equity),</li> <li>• Mr. Sonal Gupta (Overseas Investments)</li> </ul>

In view of the above, relevant changes will be carried out in the SID and KIM of the aforesaid Schemes.

#### **II. Modifications to “Penalties, pending litigation or proceedings, findings of inspections or investigations for which action may have been taken or is in the process of being taken by any regulatory authority” section of SIDs of schemes of HSBC Mutual Fund:**

The following disclosure is added to the existing disclosures made under sub-point “Details of all enforcement actions taken by SEBI in the last three years and/ or pending with SEBI for the violation of SEBI Act, 1992 and Rules and Regulations framed there under including debarment and/ or suspension and/ or cancellation and/ or imposition of monetary penalty/adjudication/enquiry proceedings, if any, to which the Sponsor(s) and/ or the AMC and/ or the Board of Trustees /Trustee Company and/ or any of the directors and/ or key personnel (especially the fund managers) of the AMC and Trustee Company were/ are a party. The details of the violation shall also be disclosed.” under the above heading:

SEBI has issued a Show Cause Notice dated January 4, 2024, under Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 to one of the key employees of the AMC in a matter pertaining to his past employer and along with others, alleging violation of SEBI Regulations. The said key employee has filed a settlement application with SEBI under the SEBI (Settlement Proceedings) Regulations, 2018.

In view of the above, relevant changes will be carried out in the SID of all the Schemes of the Fund.

All the other terms and conditions of the SID and KIM of the aforesaid schemes & SAI of the Fund will remain unchanged.

This notice-cum-addendum forms an integral part of the SID, KIM & SAI of the Fund.

**For & on behalf of HSBC Asset Management (India) Private Limited  
(Investment Manager to HSBC Mutual Fund)**

**Sd/-  
Authorised Signatory**

**Place: Mumbai  
Date: February 29, 2024**

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

HSBC Asset Management (India) Private Limited, 9-11 Floors, NESCO IT Park, Building no. 3, Western Express Highway, Goregaon (East), Mumbai – 400 063, India.

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Issued by HSBC Asset Management (India) Private Limited

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